

**DIRECTOR-GENERAL OF THE MINISTRY OF AGRICULTURE AND
FORESTRY**

and

WAIKATO-TAINUI

**AGRICULTURE, FORESTRY and BIOSECURITY ACCORD WITH THE
DIRECTOR-GENERAL**

18 JUNE 2010

THIS ACCORD is made between

THE DIRECTOR-GENERAL OF THE MINISTRY OF AGRICULTURE AND FORESTRY (“MAF”)

and

WAIKATO-TAINUI TE KAUHANGANUI INCORPORATED, in its capacity as trustee of the WAIKATO RAUPATU RIVER TRUST (“WAIKATO-TAINUI”)

BACKGROUND

- A. The Waikato Raupatu Claims Settlement Act 1995 gave effect to certain provisions of the deed of settlement between Her Majesty the Queen in right of New Zealand (“the Crown”) and Waikato dated 22 May 1995 and settled certain Raupatu claims made to the Waitangi Tribunal by Robert Te Kotahi Mahuta, the Tainui Maaori Trust Board and Ngaa Maraе Toopu (Wai 30). The 1995 Act expressly excluded certain historical claims, including the claim to the Waikato River.
- B. In the spirit of co-operation, compromise and good faith, and as foreshadowed in the 1995 Deed, Waikato-Tainui and the Crown entered into negotiations in respect of the claims of Waikato-Tainui concerning the Waikato River.
- C. By deed of settlement dated 22 August 2008 Waikato-Tainui and the Crown reached agreement on the terms of a settlement to enter a new age of co-management over the Waikato River with an overarching purpose to restore and protect the health and wellbeing of the Waikato River for future generations.
- D. The Crown is committed to restoring and protecting the health and wellbeing of the Waikato River for future generations and to the new era heralded by the 2008 deed. However, subsequent to the 2008 deed, the Crown requested to review the co-management arrangements to assess whether it was possible to better deliver the objectives and overarching purpose of the settlement.
- E. With the agreement of Waikato-Tainui, the Crown appointed an advisory panel. The Crown approached Waikato-Tainui with the advisory panel’s recommendations and Waikato-Tainui agreed to consider revisiting the arrangements in the 2008 deed.
- F. In the spirit of good faith and on the basis that the arrangements in the 2008 deed could be enhanced while preserving the integrity of the settlement, Waikato-Tainui and the Crown agreed on a revised deed of settlement dated 17 December 2009.
- G. The 2009 deed now supersedes the 2008 deed and contains the terms of settlement between the Crown and Waikato-Tainui in relation to the Waikato River.

- H. The Kiingitanga Accord signed between the Crown and Waikato-Tainui on 22 August 2008 remains in full force and effect except to the extent that its requirements are expressly satisfied by the 2009 deed.
- I. Waikato-Tainui and the Crown have agreed that accords will be entered into between Waikato-Tainui and various Ministers of the Crown to enhance the relationship between the Crown and Waikato-Tainui and facilitate the new era of co-management contemplated by the settlement.
- J. To give effect to the obligations under clause 9.4 of the Deed of Settlement, and clause 3.1 and the schedule of the Kiingitanga Accord, and to further enhance the relationship between Waikato-Tainui and the Director-General (on behalf of the Ministry of Agriculture and Forestry), this Accord is entered into by Waikato-Tainui and the Director-General.

TERMS OF THIS ACCORD

1. PURPOSE

1.1. The purpose of this Accord is to:

- a) reflect the commitment of the Crown, through the Director-General, and Waikato-Tainui to enter a new era of co-management over the Waikato River with the overarching purpose of restoring and protecting the health and wellbeing of the Waikato River for future generations;
- b) set out how Waikato-Tainui and the Director-General will establish and maintain a positive, co-operative and enduring relationship regarding agriculture, forestry and biosecurity; and
- c) ensure that the Crown, through the Director-General, recognises and provides for the exercise of mana whakahaere of Waikato-Tainui.

2. PRINCIPLES

2.1. The following principles as set out in clause 2 of the Kiingitanga Accord underlie:

- a) the relationship of Waikato-Tainui with the Waikato River; and
- b) this Accord.

2.2. **TE MANA O TE AWA (THE SPIRITUAL AUTHORITY, PROTECTIVE POWER AND PRESTIGE OF THE RIVER)**

To Waikato-Tainui, the Waikato River is a tupuna (ancestor) which has mana (prestige) and in turn represents the mana and mauri (life force) of the tribe. The River has its own mauri, its own spiritual energy and its own powerful identity. It is a single indivisible being.

Respect for te mana o te awa (the spiritual authority, protective power and prestige of the Waikato River) is at the heart of the relationship between the tribe and their ancestral River. Waikato-Tainui regard their River with reverence and love. It gave them their name and is the source of their tribal identity. Over generations, Waikato-Tainui have developed tikanga (values, ethics governing conduct) which embody their profound respect for the Waikato River and all life

within it. The Waikato River sustains the people physically and spiritually. It brings them peace in times of stress, relief from illness and pain, and cleanses and purifies their bodies and souls from the many problems that surround them. Spiritually, to Waikato-Tainui, the Waikato River is constant, enduring and perpetual.

2.3. MANA WHAKAHAERE (AUTHORITY AND RIGHTS OF CONTROL)

Mana whakahaere refers to the authority that Waikato-Tainui and other Waikato River iwi have established in respect of the Waikato River over many generations. Mana whakahaere entails the exercise of rights and responsibilities to ensure that the balance and mauri (life force) of the Waikato River are maintained. It is based in recognition that if we care for the River, the River will continue to sustain the people.

In customary terms mana whakahaere is the exercise of control, access to, and terms mana whakahaere is the exercise of control, access to, and management of the Waikato River, including its resources in accordance with tikanga (values, ethics, governing conduct). For Waikato-Tainui, mana whakahaere has long been exercised under the mana of the Kiingitanga.

2.4. HEALTH AND WELLBEING

The principle of health and wellbeing reflects the overarching purpose of the settlement, which is to restore and protect the health and wellbeing of the Waikato River.

The health and wellbeing of Waikato-Tainui and its special relationship with the Waikato River is inherently connected with the health and wellbeing of the Waikato River.

2.5. CO-MANAGEMENT

a) The Crown and Waikato-Tainui have committed to enter into a new era of co-management in respect of the Waikato River. The principle of co-management includes:

- i. the highest level of good faith engagement; and
- ii. consensus decision-making as a general rule;

while having regard to statutory frameworks and the mana whakahaere of Waikato-Tainui and other Waikato River iwi.

b) To be effective, co-management must:

- i. be implemented and achieved at a number of levels and across a range of management agencies, bodies and authorities, including (but without limitation) the following:
 - the development, amendment and implementation of strategies, policy, legislation and regulations that may potentially impact on the health and wellbeing of the Waikato River; and
 - the processes for granting, transfer, variation and renewal of consents, licenses, permits and other authorisations for all activities that potentially impact on the health and wellbeing of the Waikato River; and

- ii. include provision for effective Waikato-Tainui input and participation by engagement at an early stage in statutory and management processes, and other actions, that may affect the health and wellbeing of the Waikato River, including the planning and development of new and amended policies or management initiatives or decisions affecting or relating to the Waikato River. This is a positive obligation to provide for early and effective input from Waikato-Tainui, rather than simply an obligation to consult.

2.6. INTEGRATION

Arising from the principles of te mana o te awa and mana whakahaere, and inter-related to the principle of co-management, is the principle of integration. The health and wellbeing of the Waikato River and successful co-management requires effective integration of management between the relevant government agencies, Crown entities, local authorities and non-governmental agencies who have roles and responsibilities in respect of the Waikato River.

2.7. TREATY OF WAITANGI

Te Tiriti o Waitangi/the Treaty of Waitangi and its principles apply to this Accord and the relationship between the Crown and Waikato-Tainui reflected in this Accord.

2.8. HONOUR AND INTEGRITY

Underpinning the deed of settlement is the principle of honour and integrity. Waikato-Tainui and the Crown entered into the deed of settlement in good faith relying on the commitments of each other contained in the deed and the Kiingitanga Accord with the intention of achieving a full, fair and durable settlement of the claims of Waikato-Tainui in relation to the Waikato River. The principle of honour and integrity is reflected in this Accord.

3. RELATIONSHIP PRINCIPLES

- 3.1. In addition to the principles underpinning the Kiingitanga Accord, Waikato-Tainui and the Director-General agree that when implementing this Accord they will:
 - a) work in a spirit of co-operation;
 - b) ensure early engagement;
 - c) operate a 'no surprises' approach;
 - d) acknowledge that the relationship is evolving, not prescribed;
 - e) respect the independence of the parties and their individual mandates, roles and responsibilities impacting on the Waikato River; and
 - f) recognise and acknowledge that parties benefit from working together by sharing their vision, knowledge and expertise.

4. OBJECTIVES OF THE PARTIES

Joint Objective

- 4.1. Waikato-Tainui and MAF are committed to the restoration and protection of the health and wellbeing of the Waikato River for future generations.

MAF Objectives

- 4.2. MAF's objectives are detailed in its accountability documentation (such as a Statement of Intent) and these are achieved through:
- a) developing advice for the Ministers on policies for influencing agriculture, forestry or biosecurity management; and
 - b) undertaking planning, research, standards setting and operational activities.

Waikato-Tainui Objectives

- 4.3. Waikato-Tainui objectives for the Waikato River relevant to the roles and functions of MAF, include:
- a) the restoration and protection of the health and wellbeing of the Waikato River,
 - b) the restoration and protection of the relationship of Waikato-Tainui with the Waikato River, including their economic, social, cultural, and spiritual relationships;
 - c) the integrated, holistic and co-ordinated approach to management of the natural, physical, cultural and historic resources of the Waikato River;
 - d) the adoption of a precautionary approach towards decisions that may result in significant adverse effects on the Waikato River, and in particular those effects that threaten serious or irreversible damage to the River;
 - e) the recognition and avoidance of adverse cumulative effects, and potential cumulative effects, of activities undertaken both on the Waikato River and within its catchments on the health and wellbeing of the River;
 - f) the recognition that the Waikato River is degraded and should not be required to absorb further degradation as a result of human activities;
 - g) the protection and enhancement of significant sites, fisheries, flora and fauna; and
 - h) the application to the above of both maatauranga Maaori and latest available scientific methods.

5. ROLES OF THE PARTIES IN RELATION TO THIS ACCORD

- 5.1. The role of Waikato-Tainui is to:

- a) give effect to the purpose, relationship principles and joint objective of this Accord; and

- b) work collaboratively with the Director-General to implement this Accord.

5.2. The role of the Director-General is to:

- a) give effect to the purpose, relationship principles and joint objective of this Accord; and
- b) work collaboratively with Waikato-Tainui to implement this Accord.

6. SCOPE OF THIS ACCORD

- 6.1. This Accord applies to the functions and activities of the parties as they relate to the health and wellbeing of the Waikato River and its catchments from Karapiro to Te Puuaha o Waikato, including the Waipaa River from its junction with the Puuniu River to its junction with the Waikato River, being the parts of those rivers shown as located within the area marked "A" on the SO plan in part 6 of the schedule to the Waikato-Tainui deed of settlement.
- 6.2. The Director-General acknowledges that Waikato-Tainui interests and the exercise of mana whakahaere by Waikato-Tainui extend beyond the Accord Area.
- 6.3. The Director-General will engage in good faith with Waikato-Tainui in accordance with the purpose and relationship principles of this Accord in respect of agreed matters that impact on the exercise of the mana whakahaere of Waikato-Tainui outside the Accord Area.

7. RELATIONSHIP MEETINGS

- 7.1. The Director-General and Waikato-Tainui will meet annually on dates and at venues to be agreed by Waikato-Tainui and MAF. At these meetings the parties will discuss matters of mutual interest, the progress on implementation of this Accord and agree on joint opportunities that will be further developed in an implementation plan.
- 7.2. The meetings will be arranged to coincide with the start of MAF's planning cycle.

One calendar month before each meeting, Waikato-Tainui and the Director-General will:

- a) share details of current work programmes, including legislative reviews;
 - b) propose any new items for the joint work projects; and
 - c) agree administrative arrangements for the meeting.
- 7.3. Outside of the relationship meetings, relevant representatives of the parties will meet as required.
 - 7.4. The agenda for each meeting will be agreed between the parties no later than 10 working days before the meeting.
 - 7.5. Each party will meet their own costs.

8. IMPLEMENTATION PLAN

- 8.1. Waikato-Tainui and the Director-General agree to develop an initial implementation plan within 3 months of the signing of this Accord.
- 8.2. Matters to be considered in the implementation plan must be of mutual interest to the parties and within the parties' respective capabilities, resources and mandated work programmes and be consistent with scope of this Accord.
- 8.3. The implementation plan will be reviewed and updated within 3 months of each successive relationship meeting to outline how Waikato-Tainui and the Director-General will work together on the joint opportunities that are identified during the relationship meeting.

9. ENGAGEMENT

- 9.1. The Director-General will engage as early as practical with Waikato-Tainui, taking into account MAF's differing roles at the national, regional and local levels.

10. WAIKATO-TAINUI ENVIRONMENTAL PLAN

- 10.1. The Director-General will be informed by the Waikato-Tainui Environmental Plan when considering matters (such as policy development, research, standards setting and operational activities) that affect the Waikato River, and where agreed, the wider Waikato-Tainui rohe.
- 10.2. Waikato-Tainui and the Director-General will agree an approach to implementing paragraph 10.1, as part of the implementation plan developed under paragraph 8.1.

11. CAPABILITY DEVELOPMENT

- 11.1. Waikato-Tainui and the Director-General acknowledge that this Accord and activities in support of it provide opportunities for each party to develop the capabilities of their people and their organisations.
- 11.2. Waikato-Tainui and the Director General agree to:
 - a) hold an initial workshop within 6 months from the execution of this Accord to enable relevant personnel to exchange information about their roles and responsibilities and the objectives, roles and responsibilities of each party;
 - b) support each others training and development activities by providing expert presenters to speak on relevant topics. A proposed schedule, including targeted workshops on agriculture, forestry, biosecurity and cultural topics, is to be agreed at each annual meeting, subject to the work programme priorities and resources of each party; and
 - c) identify opportunities for mutual relationship building and professional development and inform the other party of such opportunities. Opportunities may include internships and secondments between people in equivalent roles in each organisation and will be taken up by either party within the resources available to them.

12. PROVISION OF SERVICES AND RESEARCH

- 12.1. Each party acknowledges that there is potential for the other to provide services to, or conduct research for, the other. Subject to compliance with applicable rules, laws and external and internal policies the parties will advise each other of such opportunities and consider proposals from the other to provide such services or research.
- 12.2. Where MAF is commissioning research related to the health and well-being of the Waikato River and its catchment, Waikato-Tainui will be invited to provide one representative to sit on the tender evaluation panel, subject to MAF's conflict of interest policy.
- 12.3. The Director-General acknowledges that, where MAF undertakes or contracts for services or research to be undertaken in respect of agreed matters that impact on the exercise of the mana whakahaere of Waikato-Tainui outside the Accord Area, MAF will:
- a) notify Waikato-Tainui of its intention to do so and provide Waikato-Tainui with an opportunity to be involved in the planning for the services or research, as appropriate;
 - b) advise Waikato-Tainui of the provider it has chosen;
 - c) subject to clause 12.1, provide Waikato-Tainui with the results of that research, as appropriate; and
 - d) require any service or research provider to maintain contact with Waikato-Tainui while the research, and in particular any field work, is being undertaken.

13. NOMINATIONS FOR ADVISORY COMMITTEES

- 13.1. The Director-General will invite Waikato-Tainui to nominate appropriately skilled and experienced people for consideration for appointment to advisory committees established by MAF.

14. INFORMATION SHARING

- 14.1. The Director-General and Waikato-Tainui will share information (where that information is not sensitive or confidential) that is relevant to the other parties' objectives.

15. COMMUNICATION BETWEEN PARTIES

- 15.1. The Director-General and Waikato-Tainui will establish and maintain effective and efficient communication with each other on a continuing basis through:
- a) exchanging and maintaining contact details for key personnel responsible for matters relating to the Waikato River;
 - b) both parties providing reasonable opportunities for their relevant personnel to meet with each other to discuss and (if possible) resolve any issue that may arise; and

- c) identifying staff who will be working closely with staff of the other party, and informing those staff of the contents of this Accord and their responsibilities and roles under it.

16. EVALUATION, REVIEW AND AMENDMENT

- 16.1. The Director-General and Waikato-Tainui agree that this Accord is a living document which should be updated and adapted to take account of future developments and additional co-management opportunities.
- 16.2. The parties will develop and agree a set of measures against which they will jointly measure their performance in giving effect to the purposes of this Accord. The measures for each year will be developed as part of the implementation plan under paragraph 8.1 and will be recorded in that plan.
- 16.3. The parties will, at the annual relationship meeting, jointly assess their performance against those measures and will meet to:
 - a) consider the results of the evaluation;
 - b) celebrate their success in meeting or exceeding those measures; and
 - c) consider how they need to change the way they operate to rectify any failure to meet those measures.
- 16.4. The first review of this Accord will take place no later than three years from the settlement date. Thereafter the Accord will be reviewed on no more than a three yearly basis. The review of this Accord will be agreed between all parties.
- 16.5. Where the parties cannot reach agreement on any review or variation proposal they will use the escalation processes contained in clause 17 of this Accord.
- 16.6. Waikato-Tainui and the Director-General may only amend this Accord by agreement in writing.

17. ESCALATION OF MATTERS

- 17.1. Should the parties be unable to agree on any matter in this Accord, one party will give notice to the other that they are in dispute.
- 17.2. Within five days of being given notice, the appropriate MAF manager, MAF's Director of Maaori Strategy and the relevant personnel from Waikato-Tainui will meet to negotiate a way of resolving the matter.
- 17.3. If the matter has not been resolved within 20 days, the Director-General of MAF and the General Manager of the Waikato Raupatu River Trust will meet to negotiate a way of resolving the matter.
- 17.4. If the dispute has still not been resolved within 30 working days, the relevant Minister and a nominated representative(s) appointed by the trustees of the Waikato Raupatu River Trust will meet to work in good faith to resolve the issue.
- 17.5. Where a matter is of such significance that it requires the immediate attention of the relevant Minister and Waikato-Tainui Governance, and MAF and Waikato-

Tainui agree, then this matter will be escalated for resolution between the Minister and a nominated representative(s) appointed by the trustees of the Waikato Raupatu River Trust.

18. RELATIONSHIP BETWEEN THIS ACCORD AND OTHER ACCORDS AGREED BETWEEN WAIKATO-TAINUI AND THE CROWN

18.1. The parties acknowledge:

- a) that this Accord is one of a number that has been or may be entered into between Waikato-Tainui and other Ministers; and
- b) that there is a significant relationship between this Accord and other Accords given the overarching purpose of the settlement.

18.2. In recognition of this relationship, and given principle 2.6, the parties will exercise their best endeavours to provide for the effective integrated management of the Accords when making any decisions that impact on the Waikato River and its catchment, as appropriate.

19. PROTECTING THE INTEGRITY OF THIS ACCORD

19.1. Where MAF functions, roles and responsibilities at the date of this Accord change, MAF will exercise its best endeavours to ensure that responsibility for the implementation of this Accord transfers to any new bodies created to carry out those functions, roles and responsibilities.

20. LIMITS OF ACCORD

20.1. This Accord does not override or limit:

- a) legislative rights, powers or obligations; or
- b) the functions, duties and powers of the Ministers, Director-General and any Ministry officials under legislation; or
- c) the ability of the Crown to introduce legislation and change government policy; or
- d) the ability of the Crown to interact or consult with any other person, including any iwi, hapuu, marae, whaanau or their representative; or
- e) the legal rights and obligations of Waikato-Tainui.

20.2. This Accord does not have the effect of granting, creating or providing evidence of an estate or interest in, or rights relating to, land or any other resource held, managed or administered by the Crown.

21. DEFINITIONS AND INTERPRETATION

21.1. The provisions of this Accord shall be interpreted in a manner that best furthers the purpose of this Accord and is consistent with the principles set out in clause 2 of this Accord.

21.2. In this Accord, unless the context requires otherwise:

- a) terms defined in the deed of settlement and the settlement legislation have the same meaning in this Accord;
- b) deed of settlement means the Deed of Settlement in relation to the Waikato River signed on 17 December 2009;
- c) settlement legislation means the Waikato Tainui Raupatu Claims (Waikato River) Settlement Act 2010; and
- d) the parties means Waikato-Tainui and as appropriate to the circumstances the Ministers and MAF.


21.3. Subject to clause 21.1 the rules of interpretation in the deed of settlement apply to the interpretation of this Accord.

AGRICULTURE, FORESTRY AND BIOSECURITY ACCORD WITH MAF

SIGNED as a deed on 18 June 2010

Name:

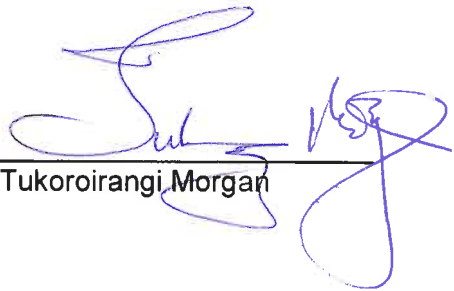
[SIGNED by
THE DIRECTOR-GENERAL OF
THE MINISTRY OF AGRICULTURE and FORESTRY
in the presence of:


Murray Sherwin

WITNESS


Name: George R. Morgan

SIGNED for and on behalf
of Waikato-Tainui by
Tukoroirangi Morgan
in the presence of:


Tukoroirangi Morgan

WITNESS


Name: DONNA FLAVELL

